

03-08-01

Box: sec

A

UTILITY PATENT APPLICATION
TRANSMITTAL

Attorney Docket No.

C70237D1

First Named Inventor or Application Identifier

Mary Rose WOODHEAD

EXPRESS MAIL CERTIFICATE

EXPRESS MAIL MAILING LABEL NUMBER EL421193355US DATE OF DEPOSIT: March 07, 2001

I hereby certify that this paper or fee and the papers indicated as being transmitted herewith are being deposited with the United States Postal Service

Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date and with the Mailing Label Number indicated above and addressed to

The Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

NAME OF PERSON MAILING PAPER OR FEE

(TYPE OR PRINT)

SIGNATURE

U.S. PRO
09/600528
03/07/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to Deposit Account No. 19-2570
- ☒ General Authorization to charge any and all fees under 37 CFR 1.16 or 1.17, including petitions for extensions of time, relating to this application. (37 CFR 1.136(a)(3))
- (Submit an original, and a duplicate for fee processing)

2. ☒ The total fee is calculated as shown below:
- | | |
|--|-----------------|
| Basic Filing fee | \$710.00 |
| Total Claims 2 - 20 = 0 x \$18 | \$ 0.00 |
| Independent Claims 1 - 3 = 0 x \$80 | \$ 0.00 |
| <input type="checkbox"/> Multiple Dependent Claim present. \$270 | |
| TOTAL FILING FEE | \$710.00 |
- ☐ Cancel in this application original claims _to_ of the prior application before calculating the filing fee.
- ☒ Charge **\$710.00** to the above indicated Deposit Account.

3. ☒ Specification excluding Drawings [Total Pages] **52**

4. ☒ Drawing(s) (35 USC 113) [Total Sheets] **11**

5. ☒ Declaration and Power of Attorney [Total Pages] **3**
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17a completed)
- c. ☐ Unsigned Declaration
- [Note Box 6 below]
- i. ☐ DELETION OF INVENTOR(S)
- Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☒ Incorporation By Reference (useable if Box 5b is checked)
- The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

7. ☒ The Title of the Invention:
BLACKCURRANT PROMOTERS AND GENES
8. ☒ Nucleotide and/or Amino Acid Sequence Submission
- a. ☒ Computer Readable Copy
- b. ☒ Paper Copy (identical to computer copy)
- c. ☒ Statement verifying identity of above copies
- d. ☐ Use the identical computer-readable form filed in Application No. _____, filed _____ as the computer-readable form for the instant application. (37 CFR 1.821(e))

ACCOMPANYING APPLICATION PARTS

9. a. ☐ Information Disclosure Statement (IDS)
- b. ☐ PTO-1449
- c. ☒ Copies of all IDS Citations
10. ☐ Assignment Papers (cover sheet & document(s))
11. ☒ Prior Application is Assigned to:
SmithKline Beecham p.l.c.
(for continuation/divisional with Box 17a completed)
12. ☒ Preliminary Amendment [Total Pages]
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☒ Transfer all references cited by Applicants or by the Examiner from the parent Application Serial No. 09/068,140 filed November 02, 1998. A PTO-1449 listing the references is enclosed.
16. ☐ Other: _____

17. ☒ Priority Information, check appropriate box and supply the requisite information
- a. The accompanying application is a ☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP)
- of prior application No: 09/068,140 filed November 02, 1998.
- b. ☐ Benefit is claimed under Title 35, United States Code, Section 119(e) of the following Provisional Applications:
Application No. _____ filed _____
- c. ☒ Please amend the specification by inserting before the first line the sentence: (37 CFR 1.78)
This is a divisional of application Serial No. 09/068,140 filed November 02, 1998.

18. CORRESPONDENCE ADDRESS

Address GlaxoSmithKline
Corporate Intellectual Property - UW2220
P.O. Box 1539
King of Prussia, PA 19406-0939

Telephone (610) 270-5968 Fax (610) 270-5090

19. RESPECTFULLY SUBMITTED,

Signature William R. Majarian
Name William R. Majarian

Registration No. 41,173

"EXPRESS MAIL CERTIFICATE"
"EXPRESS MAIL" MAILING LABEL NUMBER **EL421193355US**
DATE OF DEPOSIT **March 7, 2001**

Attorney Docket No.: C70237D1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Woodhead, et al. March 7, 2001
Serial No.: To be assigned Group Art Unit No.: Unknown
Filed: Herewith Examiner: Unknown
For: BLACKCURRANT PROMOTERS AND GENES

**STATEMENT TO SUPPORT FILING AND SUBMISSION IN ACCORDANCE
WITH 37 CFR §§ 1.821 THROUGH 1.825**

BOX SEQUENCE

Assistant Commissioner for Patents
Washington, D.C. 20231

- (X) I hereby state that the contents of the paper and computer readable copies of the Sequence Listing, submitted in accordance with **37 CFR §1.821(c)** and (e), respectively, are the same.
- () I hereby state that the submission filed in accordance with **37 CFR §1.821 (g)** does not include new matter.
- () I hereby state that the submission filed in accordance with **37 CFR §1.821 (h)** does not include new matter or go beyond the disclosure in the international application as filed.
- () I hereby state that the amendments, made in accordance with **37 CFR §1.825 (a)**, included in the substitute sheet(s) of the Sequence Listing are supported in the application, as filed, at pages _____. I hereby state that the substitute sheet(s) of the Sequence Listing does (do) not include new matter.
- () I hereby state that the substitute copy of the computer readable form, submitted in accordance with **37 CFR §1.825(b)**, is the same as the amended Sequence Listing.

Serial No.: To be assigned
Group Art Unit No.: Not yet assigned

() I hereby state that the substitute copy of the computer readable form, submitted in accordance with **37 CFR §1.825(d)**, is identical to that originally filed.

Respectfully submitted,

William R. Majors
William R. Majors

William R. Majarian
Attorney for Applicants
Registration No. 41,173

GLAXOSMITHKLINE
Corporate Intellectual Property - UW2220
P.O. Box 1539
King of Prussia, PA 19406-0939
Phone (610) 270-5968
Facsimile (610) 270-5090
N:\Will\APPS\IC70237\70231D\SeqTransmittal.doc

Variable	Mean	SD	Min	Max
Age	38.5	10.5	25	55
Gender	Male	Female		
Marital status	Married	Single		
Education	High school	College		
Occupation	Manager	Worker		
Income	Low	High		
Health status	Good	Poor		
Smoking status	Smoker	Non-smoker		
Alcohol consumption	Regular	Occasional		
Exercise frequency	High	Low		
Stress level	High	Low		
Family size	Large	Small		
Religious beliefs	Religious	Secular		
Political views	Conservative	Liberal		
Travel frequency	High	Low		
Food preferences	Vegetarian	Non-vegetarian		
Sleep patterns	Regular	Irregular		
Work-life balance	Good	Poor		
Community involvement	Active	Passive		
Personal goals	Clear	Vague		
Emotional stability	Stable	Unstable		
Resilience	High	Low		
Adaptability	High	Low		
Openness to change	High	Low		
Conscientiousness	High	Low		
Agreeableness	High	Low		
Neuroticism	Low	High		
Extraversion	High	Low		
Introversion	Low	High		
Self-esteem	High	Low		
Self-efficacy	High	Low		
Optimism	High	Low		
Pessimism	Low	High		
Hope	High	Low		
Resilience	High	Low		
Adaptability	High	Low		
Openness to change	High	Low		
Conscientiousness	High	Low		
Agreeableness	High	Low		
Neuroticism	Low	High		
Extraversion	High	Low		
Introversion	Low	High		
Self-esteem	High	Low		
Self-efficacy	High	Low		
Optimism	High	Low		
Pessimism	Low	High		
Hope	High	Low		
Resilience	High	Low		
Adaptability	High	Low		
Openness to change	High	Low		
Conscientiousness	High	Low		
Agreeableness	High	Low		
Neuroticism	Low	High		
Extraversion	High	Low		
Introversion	Low	High		
Self-esteem	High	Low		
Self-efficacy	High	Low		
Optimism	High	Low		
Pessimism	Low	High		
Hope	High	Low		
Resilience	High	Low		
Adaptability	High	Low		
Openness to change	High	Low		
Conscientiousness	High	Low		
Agreeableness	High	Low		
Neuroticism	Low	High		
Extraversion	High	Low		
Introversion	Low	High		
Self-esteem	High	Low		
Self-efficacy	High	Low		
Optimism	High	Low		
Pessimism	Low	High		
Hope	High	Low		
Resilience	High	Low		
Adaptability	High	Low		
Openness to change	High	Low		
Conscientiousness	High	Low		
Agreeableness	High	Low		
Neuroticism	Low	High		
Extraversion	High	Low		
Introversion	Low	High		
Self-esteem	High	Low		
Self-efficacy	High	Low		
Optimism	High	Low		
Pessimism	Low	High		
Hope	High	Low		
Resilience	High	Low		
Adaptability	High	Low		
Openness to change	High	Low		
Conscientiousness	High	Low		
Agreeableness	High	Low		
Neuroticism	Low	High		
Extraversion	High	Low		
Introversion	Low	High		
Self-esteem	High	Low		
Self-efficacy	High	Low		
Optimism	High	Low		
Pessimism	Low	High		
Hope	High	Low		
Resilience	High	Low		
Adaptability	High			